

ABC: The Legal Framework and You

Each member of Staff is individually responsible for acting ethically and responsibly under all applicable laws (anti-bribery and corruption laws) and the Bank's policies, standards and Code of Conduct:

External laws

- 01** UKBA
- 02** FCPA
- 03** Local laws

Internal policies

- 04** Code of Conduct
- 05** Group Policies & Standards



01 UKBA

As Standard Chartered Bank is headquartered in the UK, the UK Bribery Act (UKBA) applies to all Staff globally. The UKBA covers the following specific types of bribery:

- Offering or giving a bribe
- Requesting or receiving a bribe
- Offering or giving a bribe to a foreign official
- Facilitation payments
- Offering or giving a bribe through an Associated Person / Third Party Intermediary
- Failure to prevent bribery (the corporate offence)



02 FCPA

Given the regulatory scrutiny and the extraterritorial reach of the US Foreign Corrupt Practices Act (FCPA), Standard Chartered Bank ensures that its ABC Policy and Standards comply with the FCPA in all jurisdictions in which it operates globally. The FCPA covers the following specific types of bribery:

- Offering or giving a bribe to a foreign official
- Offering or giving a bribe to a foreign official through an Associated Person / Third Party Intermediary
- Books and Records Offences



03 Local laws

Standard Chartered Bank also adheres to all local laws in jurisdictions where we operate. Often these laws contain their own anti-bribery and corruption provisions. In addition to the UKBA and FCPA, you must also follow all local laws of the jurisdiction(s) in which you work.

- Singapore – Prevention of Corruption Act (PCA)
- Hong Kong – Prevention of Bribery Ordinance (POBO)
- India – Prevention of Corruption Act (PCA)
- Indonesia – Indonesian Anti-corruption Law and Anti-bribery Law
- China – Anti-Unfair Competition Law/Criminal Law of the PRA
- Kenya – Bribery Act 2016
- Brazil – Clean Companies Act

**External
laws**



04 Code of Conduct

Everyone who works for Standard Chartered Bank signs up to the Code of Conduct, and this means living the Code in all that we do, in every interaction we have and every decision we make.

This means that we reject bribery and corruption in all forms, be it directly or indirectly.

The Bank requires Staff to 'Speak up' and escalate any suspicions of breaches of the Bank's ABC Policy and Standards.

Click [here](#) to find out more about the Code of Conduct.



05 Group Policies & Standards

The Group's Anti-Bribery and Corruption policy and standards set forth the framework to ensure that the Bank applies the appropriate controls and takes a risk-based approach to mitigating bribery and corruption risk consistently throughout the Bank.

The Bank operates a **zero tolerance** policy towards any form of bribery or corruption, including facilitation payments.

Other applicable ABC policies and standards include the Group ABC Intermediary Standards, which govern the on-boarding and engagement of Intermediaries.

Visit the [GovPoint](#) to find the **Group Anti-Bribery and Corruption (ABC) Policy**, the **Group Anti-Bribery and Corruption (ABC) Standard** and other ABC documents



**Internal
policies**